

# FUTURE FOCUSED TRAINING PLANNER

FINRA's 2023 Annual Conference had a theme of *Future Focused*. The conference sessions and networking opportunities were amazing! We have matched session topics with training materials available in the WebCE Firm Element Course and ACM libraries.

You can use this tool to develop a comprehensive training program that aligns with FINRA's Future Focus.

## *Financial Responsibility Rules and Relevant Touchpoints*

- Financial Responsibility Rules: An ACM Module

## *Crypto Asset Developments*

- Cryptocurrency Disciplinary Actions and Sanctions: An ACM Module

## *Diversity, Equity and Inclusion*

- Diversity, Equity and Inclusion
- Microaggressions

## *Hot Topics in Municipal Securities and Other Fixed Income*

- Highlights of Municipal Securities
- Municipal Advisers Duties and Obligations
- Municipal Securities Rules and Regulations
- The Municipal Advisor

## *Redefining Communications Compliance for the Digital Age*

- Public Communication, Social Media Interaction and Digital Communication
- Rules Surrounding Communication with the Public

## *Protecting Senior and At-Risk Investors*

- Elder Financial Abuse
- Ethical Duties When Working with Senior Clients
- Prevention of Financial Abuse of Senior Investors
- Protecting Senior Investors and Vulnerable Adults
- Senior Investors: Suitability and Trusted Contacts
- Senior Investors: Use of Senior Designations
- Senior Safe Act: Protecting Senior Investors

### *Current Trends and Threats in Financial Crimes*

- Case Studies of Financial Crimes and Penalties
- Financial Crimes

### *The Inside Scoop on Insider Threats and Cybersecurity*

- Client Data Protection
- Compliance Highlights Vol. 3, Vol. 6
- Compliance Priorities - AML and Cybersecurity
- Cybersecurity: Protecting Your Clients and Your Practice
- Cybersecurity Awareness
- Cybersecurity Best Practices
- Institutional Regulatory Landscape, Vol. 3
- Maneuvering Through Cybersecurity
- NFA Privacy and Cybersecurity
- The World of Cybersecurity and What Registered Representatives need to Know
- Today's Pressing Issues: Cybersecurity

### *Private Placements*

- Private Placements
- Private Placements Overview
- Private Placements, Vol. 2
- Communications and Sales
- Compliance Highlights, Vol. 4

### *Enforcement: Latest Developments and Trends*

- Trends in Disciplinary Actions
- Cryptocurrency Disciplinary Actions and Sanctions: An ACM Module

### *Current Issues Under Regulation Best Interest and Form CRS*

- Obligations of Reg BI
- Regulation Best Interest: An Overview
- Supervisory Best Practices Under Reg BI
- Communications and Sales
- Compliance Highlights, Vol. 6

### *Complex Products: Top Concerns and Developments*

- An Overview of Complex Products
- Supervision of Complex Product Sales
- Sales Practices

## **WE'RE HERE TO HELP**

WebCE is your full service solution for securities education and training at every career stage. From exam prep to continuing education, we help your firm stay compliant. Please contact [CorporateSales@WebCE.com](mailto:CorporateSales@WebCE.com) for more details.

